



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Silas W. Dunsmore, et al. Examiner: Not Yet Assigned

Serial No.: 10/608,722 Group: Art Unit: Not Yet Assigned

Filed: June 26, 2003 Docket: 1352-2

For: METHOD AND APPARATUS Dated: September 22, 2003
FOR EXCHANGING SUB-HIERARCHIAL
STRUCTURES WITHIN A HIERARCHIAL
FILE SYSTEM

Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to Applicant(s) duty of disclosure, the information listed in the attached form PTO-1449 is brought to the attention of the Examiner. Copies of the listed items are not enclosed as the Office hereby waives the requirement under 37 CFR 1.98.

The citation of the listed items is not a representation that they constitute a complete or exhaustive listing of the relevant art or that the references are prior art. The items

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, postpaid in an envelope, addressed to the: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450 on September 22, 2003.

Dated: September 22, 2003



Adrienne Fagan

listed are submitted in good faith, but are not intended to substitute for the Examiner's search. It is hoped, however, that in addition to apprising the Examiner of these particular items, they will assist in identifying fields of search and in making as full and complete a search as possible. The filing of this information disclosure statement is not an admission that the information cited herein is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

- This information disclosure statement is being filed within three (3) months of the filing date of this application.
- This information disclosure statement is being filed within three (3) months of the date of entry of the national stage as set forth in 37 C.F.R. §1.491 in an international application.
- To the best of Applicant(s) knowledge, this information disclosure statement is being filed before the date of mailing of a first Office Action on the merits in connection with this case.
- Enclosed herewith is a certificate under 37 C.F.R. § 1.97(e)(1).
- Enclosed herewith is a petition under 37 C.F.R. § 1.97(d)(ii).
 - Enclosed by check is the petition fee of \$130.00. (37 C.F.R. §1.17(i)(1))
 - Please charge the \$130.00 petition fee to Deposit Account No. **50-2140**.
- Enclosed by check is the \$180.00 fee required by 37 C.F.R. § 1.17(p).
- Please charge the \$180.00 fee required by 37 C.F.R. §1.17(p) to Deposit Account No. **50-2140**.
- Please charge any deficiency as well as any other fee(s) which may become due under 37 C.F.R. §1.16 and/or §1.17 at any time during the pendency of this application, or credit any overpayment of such fee(s) to Deposit Account **50-2140**. Also, in the event any extensions of time for

responding and/or a petition under 37 C.F.R. §1.17(i)(i) are required for the pending application(s), please treat this paper as a petition to extend the time and/or enter this Information Disclosure Statement as required and charge Deposit Account No. 50-2140 therefor. **TWO (2) COPIES OF THIS SHEET ARE ENCLOSED.**

The claims of the application as now presented are believed to be patentably distinguish over the prior art and to be in condition for allowance. Early and favorable consideration of this application are respectfully requested.

Respectfully submitted,



Raymond E. Farrell
Reg. No. 34,816
Attorney for Applicant(s)

CARTER, DELUCA, FARRELL & SCHMIDT, LLP
445 Broad Hollow Road
Suite 225
Melville, New York 11747
Phone: (631) 501-5700
Fax: (631) 501-5715

REF/af



Sheet 1 of 1

Form PTO-1449 INFORMATION DISCLOSURE CITATION IN AN APPLICATION (Use several sheets if necessary)	Docket Number 1352-2	Application Number 10/608,722
	Applicant Silas W. Dunsmore, et al.	
	Filing Date June 26, 2003	Group Art Number Not Yet Assigned

U.S. PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date if Appropriate
	5,469,573	11/21/1995	McGill, III et al.			
	5,561,799	10/01/1996	Khalidi et al.			
	5,664,186	09/02/1997	Bennett et al.			
	5,875,444	02/23/1999	Hughes			
	6,016,553	11/18/01	Schneider et al.			
	6,073,220	06/06/00	Gunderson			
	6,175,904	01/16/01	Gunderson			
	6,192,376	02/20/01	Kincaid et al.			
	6,199,178	03/06/01	Schneider et al.			
	6,205,527	03/20/01	Goshey et al.			
	6,240,527	05/29/01	Schneider et al.			
	6,253,300	06/26/01	Lawrence et al.			
	6,289,426	09/11/01	Maffezzoni et al.			
	6,317,845	11/13/01	Meyer et al.			
	6,366,988	04/02/02	Skiba et al.			
	6,374,363	04/16/02	Wu et al.			
	6,374,366	04/16/02	Maffezzoni			
	6,381,694	04/30/02	Yen			
	6,385,707	05/07/02	Maffezzoni			
	6,430,703	08/06/02	Connor et al.			
	6,477,629	11/05/02	Goshey et al.			
	6,480,944	11/12/02	Bradshaw et al.			
	6,532,535	03/11/03	Maffezzoni et al.			
	2001/0045952	11/29/01	Tenev et al.			
	2002/0016827	02/07/02	McCabe et al.			
	2002/0143783	10/03/02	Bakalash et al.			
	2002/0188815	12/12/02	Wang et al.			
	2002/0049883	04/25/02	Schneider et al.			

FOREIGN PATENT DOCUMENTS

	Document Number	Date	Country	Class	Subclass	Translation	
						Yes	No

OTHER DOCUMENTS

Examiner	
Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP §609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.	